

OPTIONS ACCOUNT application form

а	se use this form when you wish to:
u	dd options trading to your existing BOQ Trading Account
1 0	rder to process your application we will need:
	your completed application form
	your completed Collateral Cover Authorisation
I	MPORTANT
	the account is to be opened in a Company Name, please supply the Director(s) personal information Sections A & B.
1	the account is a Trust Account, please supply the Trustee(s) personal information in Sections A & B.
	n order to open your Options Account, you will need to be CHESS Sponsored with CMC Markets Stockbroking, nd you will need to open a Money Market Deposit Account (MMDA).
	you need to add these features to your account, please complete a CHESS Sponsorship Agreement Form, nd/or a MMDA.
Υ	ou must post in your completed application form, we cannot accept scanned or faxed copies.

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ction A – Account Holde	er1 / Director 1 / Trustee 1			
3 All fields are mandatory.				
Surname		Other:Given Name(s		
Residential Address		Given Maniets	J	
(PO Box not allowed)	Suburb/Town		State	Postcode
Postal Address				
☐ Same as above	Suburb/Town		State	Postcode
Contact Numbers	Work	Home		
	Mobile	Fax		
	Email To comply with the ASIC Market Integrity Rules	s the first email address must be that of the account h	iolder	
	Existing BOQ CAN (if applicable			
R If this account has multip		onfirmations will be sent to the p		
		on macions will be sent to the p	ostai audicss IVI	Account Holder 1.
Date of Birth				

IB All fields are mandatory.				
,,-	Mr / Mrs / Miss / Ms / Dr / Other:			
Surname		Given Name(s	s)	
Residential Address (PO Box not allowed)				
	Suburb/Town		State	Postcode
Postal Address Same as above				
	Suburb/Town		State	Postcode
Contact Numbers	Work	Home		
	Mobile	Fax		
	Email			
	To comply with the ASIC Market Integrity Rules the first ema	il address must be that of the account	holder.	
	Existing BOQ CAN (if applicable)			
NB If this account has multi	ple Trustees/Directors, trade confirmat	ions will be sent to the	postal address for	Account Holder 1.
Date of Birth	1 1			

Proceed to SECTION C >

Section C – Margin Le	nder		
	able for all Margin Lending Linked Acc of your Account with your Margin Le		
Name of Margin Len	der:		
Account Num	ber:		
(with Margin Lend	der)		
			Proceed to SECTION D >
Section D - Company A	Account		
NB This section is applica	able for Company Accounts and Trust	Accounts where a Company is acti	ng as Trustee.
			.,
	or(s) details are supplied in Sections A		
Company Name			
Company Address			
	Suburb/Town	State	Postcode
Your ACN is required to per	form an ASIC check on the company to v	verify the Company Name and the Dire	entors
ACN	Tom an Asic check on the company to v	Terriy the Company Name and the Dift	.013.
ACIV			
			a Trust Account proceed to SECTION F > a Trust Account proceed to SECTION E >
Section E – Account D	esignation		·
NB This section is applica	able for Trust Accounts. Please nomina	ate the name of the Trust.	
If you are opening a Supera For example 'WEST SUPER F	annuation fund account, you would nomi	inate the name of the superannuation	fund here.
If you are opening the acco	ount for a minor, you nominate the name A/C'.	of the minor in this section.	
If you are opening the acco For example 'WEST FAMILY	ount for any other registered Trust, please A/C!	nominate the name of that Trust in t	his section.
Please note that CHESS r	regulations state that the word 'Trust'	is not included in the Designation	
The designation must not b	be greater that 24 characters and must en bbreviations.	nd in 'A/C' (Account).	

Proceed to SECTION F >

A/C >

Section F - Client Agreement & Declaration

NB This Section is mandatory for all account holders.

Before you complete this part of the Application Form, ensure you have received and read the Exchange Traded Options Product Disclosure Statement (PDS) issued by CMC Markets Stockbroking and the ASX explanatory booklet, 'Understanding Options Trading'. The PDS can be accessed via our website boq.com.au and the ASX explanatory booklet 'Understanding Options Trading' can be access at asx.com.au, or contact us and we will send them to you.

By signing below you confirm you have received, read and understood the CMC Markets Stockbroking Exchange Traded Options PDS, the ASX's explanatory booklet 'Understanding Options Trading', the CMC Markets Stockbroking Financial Services Guide and the Bank of Queensland Financial Services Guide. You also agree to be bound by Parts A, D and any other relevant Parts of the BOQ Trading Terms and Conditions.

	Account Holder 1	Account Holder 2
Client Signature(s)		
Name(s) (printed)		

NB All Account Holders must complete the 'Registered Holder Collateral Cover Authorisation' which appears over the page.

How to complete the 'Registered Holder Collateral Cover Authorisation':

- The name of the option account holder needs to be filled in on the first line of the form.
- The second line of the form refers to name of the shareholder whose shares are being lodged. In most cases the name on this line will be the same as the name on the first line. It would only be different if a third party's shares are being lodged as collateral for the account.
- The Holder Identification Number (HIN) to be used is that of the shareholder(s) on line two of the form.
- The form needs to be signed by the shareholder(s) whose name(s) appear on line two of the form.
- A witness MUST sign the form for the form to be valid.

If you do not understand the form, please contact our Client Services Team on 1800 748 977.

Proceed to Authorisation overleaf >



OFFICE USE ONLY	
ACCOUNT NUMBER	

Stockbroking

	We/I,	('the Registered Holder'),			
	Holder Identification Number:				
	authorise my/our Controlling Participant, to reserve (or withdraw) Financial				
	Holder in the ASX Clear Pty Limited, ABN 48 001 314 503 ('ASX Clear') Subp Market Contracts registered in the Client Accounts nominated above with C				
		cretion, decline to accept in relation to all or any particular Client			
	3				
	in the ASX Clear Subposition by sending the appropriate Collateral lodgeme of ASX Clear, or Collateral withdrawn leaves the control of ASX Clear, in acc amended from time to time).				
	In registering Collateral in the ASX Clear Subposition, the Registered Holder (the 'Charge') in favour of ASX Clear from the time they are reserved to the	ASX Clear Subposition in the manner referred to above, and will			
	remain subject to the Charge until ASX Clear permits it to be withdrawn from the ASX Clear Subposition. The Registered Holder acknowledges that the Charge secures all amounts and obligations owing by the ASX Clear Participant to ASX Clear in connection with the Client Accounts nominated above opened by the ASX Clear Participant in accordance with the ASX Clear Operating				
	Rules and Procedures (as amended from time to time).				
	The Registered Holder acknowledges that the Registered Holder has read and understood the ASX Clear Operating Rules and the ASX Settlement Operating Rules, in so far as those rules relate to the Collateral and the Charge, including:				
	(a) ASX Clear's power to deal with the Collateral on default by the ASX Clear				
	nominated above; and				
	·	b) in particular, ASX Clear's power of sale in relation to the Collateral without any notice to the Registered Holder. The Registered Holder warrants that unless ASX Clear otherwise agrees in writing, the Collateral is not and may not be subject to any other			
	security interest, other than a security interest provided to a margin lender margin lender, which provides that ASX Clears Charge has priority over the	under a deed of priority, entered into between ASX Clear and the margin lender's security interest ('The Deed of Priority') or a securit			
	interest as permitted under the ASX Clear Operating Rules or the ASX Settle If the Registered Holder's Controlling Participant named in this Authorisation				
	signed an acknowledgement regarding the Deed of Priority as set out in Sch				
	If the ASX Clear Participant is unable to insert the Account Numbers and/or HIN at the time the Register Holder signs this form the Registered Holder irrevocably authorises the ASX Clear Participant to insert the Account Numbers and/or HIN on this agreement and agrees the ASX Clear				
	Participant will insert the Account Numbers and/or HIN on the Registered Ho	. 3			
	ASX Clear Participant agrees that it will notify the Registered Holder of the Ac	count Numbers and/or HIN in writing as soon as reasonably possible			
).	Defined terms have the same meaning as defined in the ASX Clear Operatin (as amended from time to time).	g Rules or the ASX Settlement Operating Rules and Procedures			
	Signed by the Registered Holder 1:* Witne	ssed by:			
	Signed by the Registered Holder 2:*				